

Form PTO-1449 (modified)
List of Patents and Publications

For Applicant's Information

Disclosure Statement

(Use several sheets if necessary)

ATTY. DOCKET NO.: 5659-06900

APPLICANT: Wellington et al.

FILING DATE: April 24, 2001

SERIAL NO.: 09/841,298

CONFIRMATION NO.: 3893

ART UNIT: 1764

U.S. PATENT DOCUMENTS

U.S. TATENT DOCUMENTS								
EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CL	ASS	SUB CLASS	FILING DATE IF APP.
	U107	6789625	9/14/2004	de Rouffignac et al.)		
	U108	6782947	8/31/2004	de Rouffignac et al.	·			
	U109	3017168	1/16/1962	Сагг				
	U110	3434541	3/25/1969	Cook et al.				
	U111	4598772	7/8/1986	Holmes				
	U112	5456315	10/10/1995	Kisman et al.				
	U113	3288648	11/29/1966	Jones				
	U114	4415034	11/15/1983	Bouck				
	U115	6820688	11/23/2004	Vinegar et al.				
	U116	6805195	10/19/2004	Vinegar et al.				
	U117	3386508	6/4/1968	Bielstein et al.				
	U118	4303126	12/1/1981	Blevins				
	U119	4766958	8/30/1988	Faecke				
	U120	4828031	5/9/1989	Davis				
	U121	5085276	2/4/1992	Rives et al.				<u> </u>
	U122	5305829	4/26/1994	Kumar				
	U123	5377756	1/3/1995	Northrop et al.				
	U124	5411086	5/2/1995	Burcham et al.				
	U125	4319635	3/16/1982	Jones				
	U126	5027896	7/2/1991	Anderson	\coprod			
	U127	5325918	7/5/1994	Berryman et al.				
A	U128	4418752	12/6/1983	Boyer et al.				
	U130	5891829	4/6/1999	Vallejos et al.	<u> </u>			

EXAMINER: Lewy Doffmon

DATE CONSIDERED: 3/28/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

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EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APP.
W	U131	4151877	5/1/1979	French	1		
	U132	4243511	1/6/1981	Allred			
	U133	4439307	3/27/1984	Jaquay et al.			
	U134	4544478	10/1/1985	Kelley			
	U135	5041210	8/20/1991	Merrill, Jr. et al.			
	U136	4285547	8/25/1981	Weichman			
	U137	4234230	11/18/1980	Weichman			
	U138	3700280	10/24/1972	Papadopoulos et al.			
	U139	3759328	9/18/1973	Ueber et al.			
	U140	3804169	4/16/1974	Closmann			
	U141	3804172	4/16/1974	Closmann et al.			
	U142	4065183	12/27/1977	Hill et al.			
	∕U143	3779602	12/18/1973	Beard et al.			
	U144	4260192	4/7/1981	Shafer			
	U145	20030029617	2/13/2003	Brown et al.			-

OTHER ART

Exam Initials	DES	Article (Including Author, Title, Date, Pertinent Pages, Etc.)
W	SR01	PCT "International Search Report" for International Application No. PCT/US 02/34264 mailed on December 2, 2004; 6 pages.

EXAMINER

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Form PTO-1449			ATTY. D	KT. NO. 5659-0	6900/TH199	SERIAL	NO. 09/841,298
List of Patents an For Applicant's In Disclosure Staten	nformati	on so	APPLICA	ANT: Wellington	et al.	GROUP	: 1764
(Use several shee		essa 2 8 2005 (C)	FILING	DATE: April 24,	2001		
			OREIGN PATE	NT DOCUMENT	rs		
EXAM.	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	AA2	294 809	1988-12-14	EP			

EXAMINER:

DATE CONSIDERED: 3/28/05

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Electronic Version v18
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Title of Invention

Title IN SITU THERMAL PROCESSING OF A COAL FORMATION TO PRODUCE A MIXTURE OF OLEFINS, OXYGENATED HYDROCARBONS, AND AROMATIC HYDROCARBONS

Application Number:

09/841298

09/841298

Confirmation Number:

3893

First Named Applicant:

Scott Wellington

Attorney Docket Number: 5659-06900

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Art Unit:

1764

Examiner:

J. D. Johnson

Search string:

(3017168 or 3434541 or 4598772 or 5456315 or 6789625 or

6782947).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

in	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	3017168	1962-01-16	Carr]		
	2	3434541	1969-03-25	Cook et al.]		
	3	4598772	1986-07-08	Holmes]		
	4	5456315	1995-10-10	Kisman et al.]		
	5	6789625	2004-09-14	de Rouffignac et al.]		
	6	6782947	2004-08-31	de Rouffignac et al.]		

Examiner Name	Date	
Venil Vilvar	3/28/05	
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Form PTO-1449 (modified)	ATTY. DKT. NO. 5659-06900/TH1994	SERIAL NO. 09/841,298
List of Patents and Publications For Applicant's Information	APPLICANT: Wellington et al.	GROUP: 1764
Disclosure Statement (Use several sheets if necessary)	FILING DATE: April 24, 2001	
OTHER	ART (Including Author, Title, Date, Pertinent Pages, Etc.)
L12 Van Krevelen, COAL	Typology-Physics-Chemistry-Constitution, 1993, pp. 27, 42	, 52, 322, 323, 324, 325, 326, 526,

EXAMENER JULY July

DATE CONSIDERED: 3/28/05

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Art Unit:

1764

Examiner:

Marian C. Knode

Search string:

(3026940 or 3947683).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1	3026940	1962-03-27	Spitz			
2	3947683	1976-03-30	Schultz et al.			

Examiner Name	
- Chample Name	, Date
L Suy Voluson	3/28/05



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Examiner:

Thuan D. Dang

Search string:

(3032102 or 3079085 or 3454365 or 4260018 or 4683947 or 5055180 or 5626191 or 6353706 or 6467543 or 6499536 or 4734445 or 2470842 or 3648663 or 4048280 or 4698149 or

4734115 or 3170842 or 3618663 or 4018280 or 4698149 or

5539853 or 3691291).pn.

US Patent Documents

inje	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
UP.	1	3032102	1962-05-01	Parker			
	2	3079085	1991-01-08	Clark, Jr. et al.			
	3	3454365	1969-07-08	Lumpkin et al.			
	4	4260018	1981-04-07	Shum et al.			
	5	4683947	1987-08-04	Fembacher et al.			
	6	5055180	1991-10-08	Klaila			
	7	5626191	1997-05-06	Greaves et al.			
	8	6353706	2002-03-05	Bridges			
	9	6467543	2002-10-22	Talwani et al.			
	10	6499536	2002-12-31	Ellingsen			
	11	4734115	1998-03-29	Howard et al.			
	12	3170842	1965-02-23	Kehler			'
	13	3618663	1971-11-09	Needham	i		
	14	4018280	1977-04-19	Daviduk et al.			

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TAN	15	4698149	1987-10-06	Mitchell
(20)	16	5539853	1996-07-23	Jamaluddin et al.
700	17	3691291	1972-09-12	Taj

Examiner Name	Date
Jely & Shuso	3/28/05



Electronic Version v18 Stylesheet Version v18.0

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09/841298

Confirmation Number:

3893

First Named Applicant:

Scott Wellington

Attorney Docket Number: 5659-06900

Examiner:

Unknown Unknown

Search string:

(1646599 or 3952802 or 4010800 or 3892270).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
W	1	1646599	1927-10-25	Schaefer			
	2	3952802	1976-04-27	Terry			
	3	4010800	1977-03-08	Terry			
X	4	3892270	1975-07-01	Lindquist			

Remarks

Note: Remarks are not for responding to an office action.

Foreign applications and other art will be submitted on a PTO-1449 form

Examiner Name	Date
X Suis X Squar	328/05



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Stylesheet Version v18.0

Title of Invention

Title IN SITU THERMAL PROCESSING OF A COAL FORMATION TO PRODUCE A MIXTURE OF OLEFINS, OXYGENATED HYDROCARBONS, AND AROMATIC HYDROCARBONS

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Attorney Docket Number: 5659-06900

Examiner:

unknown unknown

Search string:

(3986556 or 4031956 or 4140180 or 4412585 or 4501326 or

4524827 or 4585066 or 4776638 or 4856587 or 5517593 or

5099918 or 5751895 or 6015015 or 6112808).pn.

US Patent Documents

jojt.	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	3986556	1976-10-19	Haynes			
	2	4031956	1977-06-28	Terry			
	3	4140180	1979-02-20	Bridges et al.			
	4	4412585	1983-11-01	Bouck			
	5	4501326	1985-02-26	Edmunds			
	6	4524827	1985-06-25	Bridges et al.			
	7	4585066	1986-04-29	Moore et al.			
	8	4776638	1988-10-11	Hahn			
	9	4856587	1989-08-15	Nielson			
	10	5517593	1996-05-14	Nenniger et al.			
	11	5099918	1992-03-31	Bridges et al.			
	12	5751895	1998-05-12	Bridges			
	13	6015015	2000-01-18	Luft et al.			,
W/	14	6112808	2000-09-05	Isted			

Signature	
Examiner Name	, Date
Juno Dohnson	3/28/05

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Electronic Information Disclosure Statement

IN SITU THERMAL PROCESSING OF A COAL FORMATION TO PRODUCE A MIXTURE OF OLEFINS, OXYGENATED HYDROCARBONS, AND AROMATIC HYDROCARBONS

09/841298

Application:

09/841298

Confirmation:

3893

Applicant(s):

Scott Wellington

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5659-06900

Number:

Group Art Unit:

Examiner:

Unknown

search string:

(3221811 or 3987851 or 4042026 or 4005752 or 5868202 or 5126037 or 3477058 or

3580987).pn.

US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
Ø	P01	3221811	1965-12-07	*3221811*	Prats		
	P02	3987851	1976-10-26	*3987851*	Tham		
	P03	4042026	1977-08-16	*4042026*	Pusch et al.		
	P04	4005752	1977-02-01	*4005752*	Cha		
	P05	5868202	1999-02-09	*5868202*	Hsu		

P 06	5126037	1992-06-30	*5126037*	Showalter
20 P67	3477058	1968-11-04	*3477058*	Vedder et al.
//// P08	3580987	1971-05-25	*3580987*	Priaroggia

Examiner Name	Date
Jeur Dour	3/28/05

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IN SITU THERMAL PROCESSING OF A COAL FORMATION TO PRODUCE A MIXTURE OF OLEFINS, OXYGENATED HYDROCARBONS, AND AROMATIC HYDROCARBONS

09/841298

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Confirmation: 3893

Applicant(s): Scott Wellington

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Unit:

Examiner:

Unknown

search string:

(4193451 or 4265307 or 4390067 or 4456065 or 4457374 or 4479541 or 4498535 or 4598770 or 4669542

or 4682652 or 4982786 or 5201219 or 5339904 or 3349845).pn.

US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	P08	4193451	1980-03-18	*4193451*	Dauphine		
	P09	4265307	1981-05-05	*4265307*	Elkins		
	P10	4390067	1983-06-28	*4390067*	Wilman		
	Pll	4456065	1984-06-26	*4456065*	Heim et al.		:
	P12	4457374	1984-07-03	*4457374*	Hoekstra et al.		
	P13	4479541	1984-10-30	*4479541*	Wang		
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	p)14	4498535	1985-02-12	*4498535*	Bridges
	P15	4598770	1986-07-08	*4598770*	Shu et al.
П	P16	4669542	1987-06-02	*4669542*	Venkatesan
	P1 7	4682652	1987-07-28	*4682652*	Huang et al.
	P18	4982786	1991-01-08	*4982786*	Jennings, Jr.
	P19	5201219	1993-04-13	*5201219*	Bandurski et al.
力	P20	5339904	1994-08-23	*5339904*	Jennings, Jr.
M	P25	3349845	1967-10-31	*3349845*	Holbert et al.

Examiner Name	Date
Jenn Drum	3/28/05



Electronic Information Disclosure Statement

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09/841298

Application:

09/841298

Confirmation: 3893

Applicant(s): Scott Wellington

Docket

5659-06900

Number:

Group Art Unit:

Examiner:

Unknown

(4087130 or 4537252 or re30019 or 2623596 or 3775185 or 4524113 or 5284878 or 5767584 or

search string: 5955039 or 4091869 or 4513816 or 0094813 or 5008085 or 4099567 or 0048994 or 64852332 or

20020018697).pn.

US Patent Documents

llinit	Citation No.	Patent Number	Date	Bar Code	Patentee	Ciass	Subclass
	P23	4087130	1978-05-02	*4087130*	Garrett		
)	P24	4537252	1985-08-27	*4537252*	Puri		
	P25	re30019	1979-06-05	*re30019*	Lindquist		
I							

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P 26	2623596	1952-12-30	*2623596*	Whorton et al.
[P27	3775185	1973-11-27	*3775185*	Kunz et al.
P28	4524113	1985-06-18	*4524113*	Lesieur
P29	5284878	1994-02-08	*5284878*	Studer et al.
P30	5767584	1998-06-16	*5767584*	Gore et. al
P31	5955039	1999-09-21	*5955039*	Dowdy
P32	4091869	1978-05-30	*4091869*	Hoyer
P33	4513816	1985-04-30	*4513816*	Hubert
P34	0094813	1869-09-14	*0094813*	Dickey
P35	5008085	1991-04-16	*5008085*	Bain et al.
P36	4099567	1978-07-11	*4099567*	Terry
P 37	0048994	1865-07-25	*0048994*	Parry
//////38	64852332	2002-11-26	*64852332*	Vinegar et al.

Published Applications

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init Citation	Patent Number	Date	Bar Code	Patentee	Class Subclass
2 0001	20020018697—	2002-02-	<u>*</u> 2002001-8697 <u>*</u>	Vinegar-et-al.—	
		14			

Remarks

(Remarks are not for responding to an office action.)

Please note: Foreign patent documents and non-patent references will be sent using a standard US PTO 1449 Form.

Examiner Name	Date
Seuro Donnos	3/28/05

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